

**FORM - EQUITY SIP**



**To,**  
**Jhaveri Securities Ltd**  
 309, Payal Tower II,  
 Sayajgunj,  
 Vadodara-390005

**For Office Use Only:**

SIP Reference No.	
Client Code	
DP Account Code	
Employee Code	
Branch Code	

Dear Sir,

Ref. Client code \_\_\_\_\_

I hereby authorize you to buy the following scrip(s) as per the limits / time schedule under SIP Scheme.

**Please Select from Below:**

Please tick:			
Trigger Date(Every Month)	1 <sup>st</sup>	10 <sup>th</sup>	20 <sup>th</sup>
Tenure for in month	Installment Type : <input type="checkbox"/> Auto Debit / <input type="checkbox"/> PDC		
Monthly Installment for EQSIP Rs.	Upper limit in Rs Amount for Equity SIP (To be written in Bank Mandate)		

**Please mention list of EQ SIP Stocks for registration:**

Sr.	Name of Company	Current Price	Qty	Amount (Rate*Qty)
01				
02				
03				
04				
05				
06				
07				
08				
09				
10				
<b>TOTAL Amount</b>				

**Declaration**

I am fully aware that,

- Jhaveri Securities Ltd Equity SIP is a service that does not constitute an offer to Buy / Sell or a solicitation to any person in any jurisdiction.
- The scheme is not a PMS or mutual fund and all transaction happen with my consent only.
- In order to facilitate the execution of SIP transaction, I would be required to keep clear balances in my Bank account mapped with Jhaveri Securities Ltd.
- The charges incurred are signed up / administrative charges.

I have understood the terms and conditions attached herewith and agreed to the same.

Thanking you,

Yours truly,

Name: \_\_\_\_\_

Client Code: \_\_\_\_\_ Signature of the Account Holder: \_\_\_\_\_

Date: \_\_\_\_\_ Place: \_\_\_\_\_

## 1. General

- Any Resident Indian Customer with an active JeTrade trading account can opt for EQ SIP.
- No separate Trading or Demat account required to be opened by the existing customers for availing the scheme.
- The investments discussed or recommended in reports / calls and on website of Jhaveri Securities Ltd. ([www.jetrade.in](http://www.jetrade.in)) may not be suitable for all investors. Investors must make their own investment decisions based on their specific investment objectives and financial position and using such independent advisors, as they believe necessary.
- Jhaveri Securities Ltd. reserves the right at any time, without prior notice to add, alter, modify, change or vary all or any of the terms and conditions or to replace wholly or in part, the above offers by another offers, whether similar to above offers or not, or to withdraw it together. Nothing herein amounts to a commitment by Jhaveri Securities Ltd to conduct further, similar or other offers. Above offer is by way of a special offer and nothing contained herein shall prejudice or affect the terms of service/terms and conditions of the member client agreement. The terms of the above scheme shall be in addition to and not in derogation of the terms contained in the member client agreement.
- The clients who are participants of the scheme will not hold Jhaveri Securities Ltd. responsible for, or liable for, any actions, claims, demands, losses, damages, costs, charges and expenses which they may suffer, sustain or incur by way of above offer.
- Past performance may or may not be sustained in the future.

## 2. Application

- Only Resident individuals and HUF's are eligible to apply under the scheme. Applications complete in all respects will be accepted. The Company reserves the right to reject any incomplete forms.
- The scrip name should be filled in full/ selection on the web site by click.

## 3. Renewal/Redemption

- Minimum tenure period of EQ SIP for in month is 12.
- The scheme can be renewed with the express consent of the customer. The customer may sell the shares accumulated through the scheme at his own discretion.

## 4. Brokerage / Fee Structure and order execution

- Minimum SIP Investment Amount is Rs.1000/- and customer can increase the same in multiples of Rs. 500/- only
- Customer will also have to define Maximum Investment Amount (Upper limit amount) so that at the end every month SIP will trigger within Upper limit amount
- Upper limit amount for EQSIP is defined as Maximum Investment Amount p.m. If the amount increases the Upper limit then EQSIP will not be executed for that particular month.
- Minimum & Maximum Investment Amount selection should be in multiple of Rs.500 only.
- Monthly debit amount for EQSIP would be the closing price of last working day of previous month plus 15% Volatility Margin.
- SIP will be triggered (debit from customer bank account) as per process cycle of every month between 10:00am to 12:00 am on best efforts basis. In case of Holiday, the next working day will be considered.
- There 3 process cycles in every month as below.

Process Cycle	Debit from Bank	Trade Execute
Cycle – I	01 <sup>st</sup>	03 <sup>rd</sup>
Cycle – II	10 <sup>th</sup>	13 <sup>th</sup>
Cycle – III	20 <sup>th</sup>	23 <sup>rd</sup>

- Upper Cap – If SIP amount exceeds the Upper CAP amount specified by the client, then the SIP will not be triggered.

- Trade execution is subject to availability of funds in your account.
- Rs 15/- will be debited as process charges on every SIP installment. (Brokerage & other statutory charges as mentioned in brokerage scheme will be as applicable)
- Extra charge of Rs.100 will be applicable /debited on bounce of EQSIP cheque or ECS due to any reason.
- Amount remaining after deduction of contract charges from SIP amount will be kept in your ledger account.
- Request for any process involved in EQ SIP will take at least 30 working days of time.
- In case, the selected scrip cost has reached 90% of SIP amount, then you need to fill FORM FOR MODIFICATION OF EQ SIP and transfer fund as per revised EQ SIP scheme.
- The Company reserves the right to modify the amount of brokerage, charges from time to time by displayed the same on the Company's website and the customer agrees to keep himself updated in respect thereof.

## 5. Cancellation / Modification / Pause

- If you wish to cancel, modify or pause your existing SIP scheme, then you need to download Form for MODIFY / STOP of Equity SIP from website [www.JeTrade.in](http://www.JeTrade.in) and submit to JeTrade office.
- Request for any process involved in EQ SIP will take at least 30 days of time.

## 6. Product terms and conditions

- Subsequently, SIP will be triggered in an automated mode and client will not be called prior to upload of order on trigger day.
- Number of scrip may be selected in single SIP application is 10.
- In case of any regulatory reason, if you're trading/ demat/ bank account is deactivated, then the SIP will be automatically get cancelled.
- Jhaveri Securities Ltd will only trigger buy leg based on the instructions received from the Customer. Selling will be at the sole discretion of the customer.
- Securities bought under this scheme will be added in the limits on the day on which it has been bought. But the same will be added for selling limits.
- In case of insufficient funds your SIP order will not be executed. There won't be any partial execution of orders.
- Upper Cap – If SIP amount exceeds the Upper CAP amount specified by the client, then the SIP will not be triggered.
- On trigger date customer, if selected scripts reach upper or lower circuit, the order will be kept live till market ends. In this case the order may or may not get successfully executed depending upon the market.
- Order will be executed at market price to the extent of equity SIP monthly installment.
- Securities investments are subject to market risks and there can be no assurance or guarantee that the objectives will be achieved. Each client is advised to consult his/her own financial advisor/professional tax advisors before availing of scheme.
- The Company may discontinue the scheme completely or partially with prior intimation to the customer.
- All other exchange conditions viz. Shortages, Auction etc. will be applicable.
- The terms and conditions of the scheme are subject to change from time to time at the absolute discretion of the Company.
- Client would be provided with the contract notes and other communication as per the normal practice.
- The terms and conditions of the scheme will always be read and construed in conjunction with the provisions of the client member agreement/ tripartite agreement entered into between the Company and the customer.
- For any further queries or request processing, please contact [myaccount@jetrade.in](mailto:myaccount@jetrade.in) OR 0265-23071200
- For detailed disclaimer refer [www.jetrade.in](http://www.jetrade.in)

I have understood the terms and conditions attached herewith and agreed to the same.

Name: \_\_\_\_\_

Client Code: \_\_\_\_\_

Signature of the Account Holder: \_\_\_\_\_

Date: \_\_\_\_\_

Place: \_\_\_\_\_

**JHAVERI SECURITIES LTD**

309, Payal Tower II, Sayajigunj, Vadodara - 390005, Gujarat

Tele: + 91 265-3071200 Fax No: + 91 265 2225378

Email ID: [myaccount@jetrade.in](mailto:myaccount@jetrade.in) Website: [www.jetrade.in](http://www.jetrade.in)

Client Code: \_\_\_\_\_

Mandate (EQ SIP) Reference No: \_\_\_\_\_

CLIENT NAME: \_\_\_\_\_

Date: \_\_\_\_\_

Branch Code: \_\_\_\_\_

Registration Cum Mandate Form for Electronic Clearing Service (Debit Clearing) / Direct Debit Facility

The Manager

Bank Name: \_\_\_\_\_

Branch Name: \_\_\_\_\_

Branch Address: \_\_\_\_\_

Pin Code: \_\_\_\_\_

I hereby authorize you to debit my account for making payment to **Jhaveri Securities Ltd.** through ECS (Debit) clearing as per the details given as under.

A. MICR - 9 Digit code numbers of the bank & branch  
(Please enter the 9 digit number that appears after the cheque Number):

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B. Account Type (Saving / Current / Cash Credit): \_\_\_\_\_

C. Ledger No. / Ledger Folio No: \_\_\_\_\_

D. Account Number: \_\_\_\_\_

E. NEFT / IFSC Code: \_\_\_\_\_

F. Account holder names (As per bank's record): 1st \_\_\_\_\_

2nd \_\_\_\_\_

3rd \_\_\_\_\_

Name of the Scheme & Option	Each SIP Amount (Rs.)	Frequency	SIP ECS Debit Clearing Date	ECS Debit Start Date	ECS Debit End Date

I hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I would not hold the user institution responsible. I have read the option invitation letter and agree to discharge the responsibility expected of me as a participant under the scheme.

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1st account holder's Signature  
(As in bank Records)

-----  
2nd account holder's Signature  
(As in bank Records)

-----  
3rd account holder's Signature  
(As in bank Records)

Certified that, the particulars furnished above are correct & as per our records.

Bank's Stamp

Date:

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Signature of the Authorized official from the Bank

Client Code: \_\_\_\_\_ (EQ SIP) Reference No: \_\_\_\_\_

CLIENT NAME: \_\_\_\_\_

Date: \_\_\_\_\_

Branch Code: \_\_\_\_\_

Registration Cum PDC cheq. list

To,  
The Manager  
JHAVERI Securities Ltd.  
BARODA

I hereby submit below cheques in favor of JHAVERI Securities Ltd. to purchase list of shares mentioned in Annexure-A (EQUITY SIP FORM) attached here with as per terms & conditions mentioned there in. The details of cheques are as under.

Sr.	Bank Name	Cheq. No.	Cheq. Date	Amount
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				

I hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I would not hold the user institution responsible. I have read the option invitation letter and agree to discharge the responsibility expected of me as a participant under the scheme.

Notes:-

- Customer can use additional sheet if require to give more cheq.
- Trade will be executed only on clearance of cheq.

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1st account holder's Signature  
(As in bank Records)

-----  
2nd account holder's Signature  
(As in bank Records)

-----  
3rd account holder's Signature  
(As in bank Records)

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